

**FACTS:**

**WHAT DOES ALPHA PRINCIPLE DO WITH YOUR PERSONAL INFORMATION?**

<b>Why?</b>	Financial companies choose how they share your personal information. Federal law gives consumers and investors the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share and protect your personal information. Please read this notice carefully to understand what we do.
<b>What?</b>	The types of personal information we collect and share depend on the product or service you have with us. This information can include, but is not limited to: <ul style="list-style-type: none"> <li>▪ Social Security Number</li> <li>▪ Address</li> <li>▪ Account number</li> <li>▪ Account balances</li> <li>▪ Transaction history</li> </ul>
<b>How?</b>	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons ORG chooses to share; and whether you can limit this sharing.

<b>Reasons Alpha Principle can share your personal information</b>	<b>Does Alpha Principle share?</b>	<b>Can you limit this sharing?</b>
<b>For our everyday business purposes</b> – to process your transactions, maintain your account(s), respond to court orders and legal investigations, or to report to credit bureaus	Yes	No
<b>For our marketing purposes</b> – to offer our products and services to you	No	No
<b>For joint marketing with other financial companies</b>	Yes	No
<b>For our affiliates’ everyday business purposes</b> - information about your transactions and experiences	No	No
<b>For our affiliates’ everyday business purposes</b> - information about your creditworthiness	No	Yes
<b>For our affiliates to market to you</b> – to make you aware of products you may be interested in	Yes	Yes
<b>For non-affiliates to market to you</b>	No	Yes

**HOW DO I LIMIT SHARING OR CONTACT ALPHA PRINCIPLE WITH QUESTIONS?**

**Call 800-303-1553**  
**E-mail:** [compliance@alphaprinciple.com](mailto:compliance@alphaprinciple.com)

**Please Note:** If you are a new client or investor, we can begin sharing your information 30 days from the date we sent this notice. When you are no longer our client or investor, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.

## WHAT ALPHA PRINCIPLE DOES

<b>How does Alpha Principle</b>	To protect your information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
<b>How does Alpha Principle</b>	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> <li>▪ enter into your advisory agreement</li> <li>▪ enter into a subscription agreement with a fund we advise</li> <li>▪ open an account</li> </ul>
<b>Why can't I limit all</b>	<p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> <li>▪ sharing for affiliates' everyday business purposes – information about your credit worthiness</li> <li>▪ affiliates from using your information to market to you</li> <li>▪ sharing for nonaffiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p>
<b>What happens when I limit sharing for an account I hold jointly with someone else?</b>	Your choices will apply to everyone on your account.

## DEFINITIONS

<b>Affiliates</b>	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>▪ For marketing purposes, we may share your contact information with our private fund related financial affiliates.</li> </ul>
<b>Non-affiliates</b>	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>▪ Alpha Principle does not share with non-affiliates so they can market to you.</li> </ul>
<b>Joint Marketing</b>	<p>A formal agreement between non-affiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> <li>▪ For marketing purposes, we may share your contact information with our sub-adviser Three Circles Capital Management, LLC, an investment advisor registered with the state of Colorado that provides investment advisory services to our private fund vehicles.</li> </ul>